IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Satoshi TAKAGI et al.

Serial No.:

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For:

ASSET MANAGEMENT SYSTEM AND ASSET

MANAGEMENT METHOD

Examiner:

Baig, Sahar A

Art Unit:

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745 Fifth Avenue New York, NY 10151

CERTIFICATE OF ELECTRONIC FILING

Date of Transmission:

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I hereby certify that this correspondence is being transmitted via Electronic Filing Services on the date indicated above.

Shabana Alli-Arjune

(Typed or printed name of person signing transmittal)

(Signature of person signing transmittal)

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. § 1.97 (d)</u> AND CERTIFICATION UNDER 37 C.F.R. § 1.97 (e)

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO/SB/08a and 08b along with copies of the prior art therein identified. Such prior art has come to the attention of applicants by being cited in Office Actions issued in corresponding Japanese applications on

PATENT 450101-03306

September 2, 2008. This Information Disclosure Statement is being filed after receipt of the Final Office Action mailed September 9, 2008.

Applicants submit herewith an electronic payment in the amount of \$180.00 as set forth in 37 C.F.R. 1.17(p).

Applicants' undersigned attorney hereby certifies pursuant to 37 C.F.R. 1.97 (e) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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